

Use when you are giving away a security as a gift.

Sec. 1 Enter the details about the account which is giving away the security.

- Full legal name of the primary account owner, that person's tax identification number, and the U.S. Bancorp Investments, Inc., account number.

Sec. 2 Tell us about the receiving account, the gift itself and how you will pay for any fees.

- Enter the account number where the security will be going and the name on the account.
- Tell us if the receiving account number is held at U.S. Bancorp Investments or another institution.
 - a) If the receiving account is held at U.S. Bancorp Investments, please complete a Journal of Assets form. Do not use the Gifting Securities Letter of Instruction form for gifts to U.S. Bancorp Investments accounts.
 - b) If the receiving account is held at another institution, you must tell us whether the receiving institution is a DTC (Depository Trust Company) participant or if the security will transfer via DRS (Direct Registration System). Complete only one of these checkboxes. If you do not know, you need to contact the receiving firm or gift recipient to inquire. If you have a copy of the statement, you should be able to find contact information there.
 - 1) Receiving firm is a DTC Participant: Select this checkbox if the gift recipient already has an account with a DTC Participant. Enter the DTC Participant number on the form and do not complete Sec. 3 of this form.
 - 2) Transfer security via DRS: Select this checkbox if the receiving firm is a DRS transfer agent. This is often the case if the gift recipient does not have an existing account or you wish to have the transfer agent create a new account in the recipient's name. There is a fee for this service and you must complete Sec. 3 of this form.
- Enter the details about the security being given away: CUSIP or symbol and the number of shares to give away. Also indicate whether the security is a mutual fund or not.

Sec. 3 Complete this section *only if the receiving firm is a DRS transfer agent*.

- Enter the full legal name, Social Security number and physical street address of the gift recipient.
- Indicate if the recipient is a minor, and if yes, enter the full legal name of the minor's parent or guardian.
- Tell us how you will pay any fees for this transaction.

Sec. 4

- Each account owner must sign and date the form.
- You may check the box asking for anonymity if so desired.
- U.S. Bancorp Investments will verify your signatures from documents held in our files.

**Investment and insurance products and services including annuities are: NOT A DEPOSIT • NOT FDIC INSURED •
MAY LOSE VALUE • NOT BANK GUARANTEED • NOT INSURED BY ANY FEDERAL GOVERNMENT AGENCY**

Investment and insurance products and services including annuities are available through U.S. Bancorp Investments, the marketing name for U.S. Bancorp Investments, Inc., member FINRA and SIPC, an investment adviser and a brokerage subsidiary of U.S. Bancorp and affiliate of U.S. Bank.

Insurance products are available through various affiliated non-bank insurance agencies, which are U.S. Bancorp subsidiaries.
Products may not be available in all states. CA Insurance License# 0E24641.

▶ If joint owners, all owners' signatures are required.

Call Wealth Management Advisory and Service Center at 800-888-4700 for assistance completing this form.

Contact + Internal Phone

1 Account Information

Primary Account Owner	SSN/TIN (please provide last 4 digits)	Account #
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2 Instructions (I hereby authorize the following gift to be made from my account listed in Sec. 1:)

Securities to Gift	CUSIP/SYMBOL	# Shares	Is this a mutual fund?	
1.	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
2.	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
3.	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No
4.	_____	_____	<input type="checkbox"/> Yes	<input type="checkbox"/> No

Account Number Receiving the Gift	Name on Receiving Account
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Is the Receiving Account at U.S. Bancorp Investments?
 Yes No (Select one and complete information requested.)

Receiving firm is a DTC Participant. Write DTC Participant number.
 (Do not complete Section 3 if the receiving firm is a DTC Participant.)

Transfer security via DRS. (Also complete Section 3.)

3 Registration Instructions (Transfer agent will mail DRS statement to gift recipient at address below. Complete only if you selected DRS in Sec. 2.)

Register to:

Name	SSN/TIN
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Street Address	City	State	ZIP Code
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Is the new owner a minor?
 Yes No Name of Parent or Guardian _____

Payment (Indicate payment method for any fees for this transaction.)
 Linked account A Linked account B Check payable to U.S. Bancorp Investments, Inc. Sweep Program

IMPORTANT: The gift recipient will receive a Direct Registration System "DRS" Statement from the transfer agent stating that the security is held in book-entry with the agent. Physical certificates are no longer routinely issued in the securities industry.

• After receipt of the DRS statement, the owner may inquire about a physical certificate by directly contacting the agent listed on the DRS statement. In some instances, a physical certificate may be possible for a fee paid directly to the agent by the owner.

Primary Account Owner: _____

SSN/TIN: _____ Account #: _____

4 Required Signatures

If joint owners, all owners' signatures are required.

I hereby instruct U.S. Bancorp Investments not to divulge my name as the donor of this gift. *(Check if applicable.)*

Account Owner #1 Signature

Date

I hereby instruct U.S. Bancorp Investments not to divulge my name as the donor of this gift. *(Check if applicable.)*

Account Owner #2 Signature

Date

Representative certification (For U.S. Bancorp Investments Use Only.): By signing below, I certify that I have verified the identity of the signer(s).

Signature of Wealth Management Advisor

Date

Email to USBIService@usbank.com or mail to Wealth Management Advisory and Service Center,
EP-MN-WN20, 60 Livingston Ave., St. Paul, MN 55107.